


|   |  |                                  |  |                                  |
|---|--|----------------------------------|--|----------------------------------|
|  <b>NMF II Platform</b>  |  | IIN No.:                         | <b>Investor Form</b>                   |                                  |
| Advisor/Distributor : Code/Name   |  |                                  |  |                                  |
| <b>UnitHolder Information</b>   |  |                                  |  |                                  |
| <b>Name of the First Applicant :</b>  |  |                                  |  |                                  |
| <b>PAN/Exempt No.:</b>  |  | <b>Date of Birth :</b>           | <b>Tax Status* :</b>                   | <b>cKYC Ref No. :</b>            |
| <b>Father Name :</b>  |  | <b>Mother Name :</b>             |  |                                  |
| <b>Name of Guardian :</b>   |  | <b>Date of Birth :</b>           | <b>PAN/Exempt No. :</b>                | <b>cKYC Ref No. :</b>            |
| <b>Contact Address :</b>  |  |                                  |  |                                  |
| <b>City :</b>   |  | <b>Pincode :</b>                 | <b>State :</b>                         | <b>Country :</b>                 |
| <b>Tel.(Off) :</b>  |  | <b>Tel.(Res) :</b>               | <b>Email :</b>                         |                                  |
| <b>Fax.(Off) :</b>  |  | <b>Fax.(Res) :</b>               | <b>Mobile:</b>                         |                                  |
| <b>Mode of Holding :</b>  |  | <b>DP ID :</b>                   | <b>Occupation :</b>                    |                                  |
| <b>Name of Second Applicant :</b>   |  |                                  | <b>PAN/Exempt No. :</b>                |                                  |
| <b>Second Applicant Email:</b>  |  |                                  | <b>Second Applicant Mobile :</b>       |                                  |
| <b>Second Applicant Date of Birth :</b>   |  |                                  | <b>Second Applicant cKYC Ref No. :</b> |                                  |
| <b>Name of Third Applicant :</b>  |  |                                  | <b>PAN/Exempt No.:</b>                 |                                  |
| <b>Third Applicant Email :</b>  |  |                                  | <b>Third Applicant Mobile :</b>        |                                  |
| <b>Third Applicant Date of Birth :</b>  |  |                                  | <b>Third Applicant cKYC Ref No. :</b>  |                                  |
| <b>Other Details</b>  |  |                                  |  |                                  |
| <b>Overseas Address (If investor is NRI) :</b>  |  |                                  |  |                                  |
| <b>City :</b>   |  | <b>Pincode :</b>                 | <b>Country :</b>                       |                                  |
| <b>Bank Mandate Details</b>   |  |                                  |  |                                  |
| <b>Name of Bank :</b>   |  |                                  | <b>Branch :</b>                        |                                  |
| <b>A/c No. :</b>  |  | <b>A/c Type :</b>                | <b>IFSC Code :</b>                     | <b>MICR No. :</b>                |
| <b>Bank Address :</b>   |  |                                  |  |                                  |
| <b>City :</b>   |  | <b>Pincode :</b>                 | <b>Country :</b>                       |                                  |
| <b>Nomination Details</b>   |  |                                  |  |                                  |
| <b>Nominee Name 1 :</b>   |  | <b>Date of Birth:</b>            | <b>Relationship :</b>                  | <b>Percentage :</b>              |
| <b>Guardian Name(If nominee 1 is minor) :</b>   |  |                                  | <b>Guardian PAN :</b>                  |                                  |
| <b>Nominee Address :</b>  |  |                                  |  |                                  |
| <b>City :</b>   |  | <b>Pincode :</b>                 | <b>State :</b>                         |                                  |
| <b>Nominee Name 2 :</b>   |  | <b>Date of Birth:</b>            | <b>Relationship :</b>                  | <b>Percentage :</b>              |
| <b>Guardian Name(If nominee 2 is minor) :</b>   |  |                                  | <b>Guardian PAN :</b>                  |                                  |
| <b>Nominee Name 3 :</b>   |  | <b>Date of Birth:</b>            | <b>Relationship :</b>                  | <b>Percentage :</b>              |
| <b>Guardian Name(If nominee 3 is minor) :</b>   |  |                                  | <b>Guardian PAN :</b>                  |                                  |
| <b>Declaration and Signature</b>  |  |                                  |  |                                  |
| <p>I/We confirm that the information provided by me/us is true and correct. I/We acknowledge that the responsibility of the information provided in the registration form solely rests with me/us and that NSE / NSCCL will not be responsible or liable for any loss, claim, liability that may arise on account of any incorrect and/or erroneous data/information provided by me/us. I/We hereby confirm that I/we will comply with the terms and conditions for Know Your Customer (KYC). I am aware that system generated User ID and password will be sent on the registered mail id. All correspondence/communication in respect of the transactions including the payment link for online fund transfer will be sent to the registered email address and SMS alerts will be sent to the registered mobile number provided at the time of registration on NMF II. I/we also hereby confirm that the email id and the mobile no. provided at the time of registration by the distributor in the NMF II is pertaining to me/us and all communication/correspondence/transactions related alerts shall be sent to same email id/mobile no.</p> <p>I/We confirm that for existing investments, I/we had gone through, understood the contents of the Scheme Information Document and Key Information Memorandum, addenda issued from time to time regarding each Mutual Fund Scheme, in which I/We had chosen to subscribe / redeem. I/We will also ensure that I/we shall go through, understand the contents of the Scheme Information Document and Key Information Memorandum, issued from time to time regarding each Mutual Fund Scheme, in which I/We will choose to subscribe to / redeem.</p> <p>I/We hereby authorize NSE to collect the following data/ information pertaining to my / our mutual fund investments from all Asset Management Companies (AMCs) and their respective Registrar and Transfer Agents with whom I/We transact: -</p> <ol style="list-style-type: none"> <li>Distributor wise transaction data for historical, present and future transactions carried out through various transaction platforms including transaction request submitted at any point of acceptance of the AMCs subject to the condition that the Distributor is registered with NSE NMF II platform.</li> <li>Scheme wise consolidated unit balance available in my account(s) as and when required.</li> </ol> <p>I/We hereby authorize the Distributor, NSE &amp; AMC (including its Registrars) to utilize my/our KYC information, such as identity, address and signature for the purpose of validation and to comply with the legal and regulatory requirements. I/We accept that for any transaction submitted offline i.e. with wet signatures, the signature available in my KYC records would be used for signature verification and in the event of such signature not being available or legible, the AMC would be within its rights to carry out further checks to validate the authenticity of the request or reject any such offline request.</p> |  |                                  |  |                                  |
| Date :  |  | Place :                          |  |                                  |
| <b>Signature 1st Applicant :</b>  |  | <b>Signature 2nd Applicant :</b> |  | <b>Signature 3rd Applicant :</b> |
| <b>*Documents Required:</b>   |  |                                  |  |                                  |
| Trust : Trust Deed and Authorised Signatory List<br>Partnership Firm : Partnership Deed and Authorised Signatory List.<br>Societies : Bye-Laws and Authorised Signatory List<br>FII & LLP : Overseas Auditors Certificate, Authorised Signatory List ,Board Resolution/Authorisation to Invest<br>Corporate : Board Resolution and Authorised signatory List<br>Minor : Proof of Date of Birth<br>For all investors, a Cancelled cheque should also be mandatorily submitted as proof of bank account.<br>Individual Investor – Additional KYC and FATCA compliance mandatory for IIN activation.<br>Corporate / HUF Investor – Additional KYC, FATCA and UBO compliance mandatory for IIN activation.<br>Note: For Corporate and HUF investors all forms have to be submitted in physical post making necessary submissions on NMF II platform. Once the submissions are made on the platform printed version of forms will be generated from NMF platform.  |  |                                  |  |                                  |
| This Investor Form was generated through NMF II platform.   |  |                                  |  |                                  |

Sponsor Bank Code  Utility Code

Tick(✓)  
 CREATE I/We hereby authorize NSE Clearing - New Mutual Fund Platform to debit tick (✓)  SB  CA  CC  SB-NRE  SB-NRO  Others  
 MODIFY  
 CANCEL

Bank A/c number

with Bank  IFSC  or MICR

an amount of Rupees  ₹

FREQUENCY  Monthly  Quarterly  Half Yearly  Yearly  As & when presented DEBIT TYPE  Fixed Amount  Maximum Amount

IIN  Mobile No.

Mandate ID  Email ID

I agree for the debit mandate processing charges by the bank whom I am authorizing to debit my account as per latest schedule for charges of the bank.

PERIOD

|      |  |  |  |
|------|--|--|--|
| From | <input type="text" value="D D M M Y Y Y Y"/> | <input type="text" value="D D M M Y Y Y Y"/> | <input type="text" value="D D M M Y Y Y Y"/> |
| To   | <input type="text" value="D D M M Y Y Y Y"/> | <input type="text" value="D D M M Y Y Y Y"/> | <input type="text" value="D D M M Y Y Y Y"/> |

Or  Until Cancelled

Signature of Primary Account Holder  Signature of Account Holder  Signature of Account Holder

1.  Name as in bank records 2.  Name as in bank records 3.  Name as in bank records

- This is to confirm the declaration has been carefully read, understood & made by me/us. I am authorizing the user entity/corporate to debit my account, based on the instructions as agreed & signed by me.
- I have understood that I am authorised to cancel/amend this mandate by a appropriately communicating the cancellation/amendment request to the user entity/corporate or the bank where I have authorised the debit.

**PLEASE DO NOT SUBMIT THE FORM WITHOUT THE ENTRY IN THE SYSTEM.**

|  |  |   |   |                  |
|--|--|---|---|------------------|
| Write<br>Name of your Bank<br>(as in Cheque/pass book)<br><b>Mandatory</b> | Write<br>Your Bank a/c no.<br>(as in Cheque/pass book)<br><b>Mandatory</b> | Mention any one of<br>Your bank code IFSC or<br>MICR code<br>(as in Cheque/pass book)<br><b>Mandatory</b> | Tick<br>Bank account type<br><b>Mandatory</b> | Mention the date |
|--|--|---|---|------------------|

UMRN  Date

Sponsor Bank Code  Utility Code

Tick(✓)  
 CREATE I/We hereby authorize NSE Clearing - New Mutual Fund Platform to debit tick (✓)  SB  CA  CC  SB-NRE  SB-NRO  Others  
 MODIFY  
 CANCEL

Bank A/c number

with Bank  IFSC  or MICR

an amount of Rupees  ₹

FREQUENCY  Monthly  Quarterly  Half Yearly  Yearly  As & when presented DEBIT TYPE  Fixed Amount  Maximum Amount

IIN  Mobile No.

Mandate ID  Email ID

I agree for the debit mandate processing charges by the bank whom I am authorizing to debit my account as per latest schedule for charges of the bank.

PERIOD

|      |  |  |  |
|------|--|--|--|
| From | <input type="text" value="D D M M Y Y Y Y"/> | <input type="text" value="D D M M Y Y Y Y"/> | <input type="text" value="D D M M Y Y Y Y"/> |
| To   | <input type="text" value="D D M M Y Y Y Y"/> | <input type="text" value="D D M M Y Y Y Y"/> | <input type="text" value="D D M M Y Y Y Y"/> |

Or  Until Cancelled

Signature of Primary Account Holder  Signature of Account Holder  Signature of Account Holder

1.  Name as in bank records 2.  Name as in bank records 3.  Name as in bank records

|   |   |   |   |
|---|---|---|---|
| Write<br>Payment Start date<br><b>Mandatory</b> | Sign as per Bank records<br>(Sign of all account holders<br>primary & Joint required)<br><b>Mandatory</b> | Write<br>Name of Bank account<br>holders - as per bank records<br>(All signatories name required)<br><b>Mandatory</b> | Write Mandate Amount<br>(In both figure & words)<br>To be debited<br><b>Mandatory</b> |
|---|---|---|---|

| Mandatory columns to be filled |                              |   |
|--------------------------------|------------------------------|---|
| ① Date in DD/MM/YYYY format    | ② Select the Account type    | ③ Customer's bank account number              |
| ④ Name of the bank             | ⑤ IFSC code of customer bank | ⑥ Amount in Words                             |
| ⑦ Amount in figures            | ⑧ ACH start date             | ⑨ Name(s) of the customer(s) and Signature(s) |

**Know Your Client (KYC)  
Application Form (For Individuals only)**

(Please fill the form in English and in BLOCK Letters)  
Fields marked with '\*' are mandatory fields

Application Type\*  New  Update  
 KYC Number\*   
 KYC Type\*  Normal (PAN is mandatory)  PAN Exempt Investors (Refer instruction K)

**1. Identity Details (Please refer instruction A at the end)**

PAN  Please enclose a duly attested copy of your PAN Card

| Name* (same as ID proof) | Prefix               | First Name           | Middle Name          | Last Name            |
|--------------------------|----------------------|----------------------|----------------------|----------------------|
| <input type="text"/>     | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> |
| Maiden Name (If any*)    |                      |                      |                      |                      |
| Father / Spouse Name*    |                      |                      |                      |                      |
| Mother Name*             |                      |                      |                      |                      |

Date of Birth\*  DD -  MM -  YYYY

Gender\*  M- Male  F- Female  T-Transgender


Marital Status\*  Married  Unmarried  Others

Citizenship\*  IN- Indian  Others - Country  Country Code

Residential Status\*  Resident Individual  Non Resident Indian  
 Foreign National  Person of Indian Origin

Occupation Type\*  S-Service  Private Sector  Public Sector  Government Sector  
 O-Others  Professional  Self Employed  Retired  Housewife  Student  
 B-Business  X-Not Categorized

**Photo**



Signature/  
Thumb Impression

**2. Proof of Identity (Pol)\* (for PAN exempt Investor or if PAN card copy not provided) (Please refer instruction C & K at the end)**

(Certified copy of any one of the following Proof of Identity [PoI] needs to be submitted)

A- Passport Number  Passport Expiry Date  DD -  MM -  YYYY

B- Voter ID Card

D- Driving Licence  Driving Licence Expiry Date  DD -  MM -  YYYY

E- Aadhaar Card

F- NREGA Job Card

Z- Others (any document notified by the central government)  Identification Number

**3. Proof of Address (PoA)\***

3.1 Current / Permanent / Overseas Address Details (Please see instruction D at the end)

**Address**

Line 1\*

Line 2

Line 3  City / Town / Village\*

District\*  Zip / Post Code\*  State/UT Code  as per Indian Motor Vehicle Act, 1988

State/UT\*  Country\*  Country Code  as per ISO 3166

Address Type\*  Residential / Business  Residential  Business  Registered Office  Unspecified

(Certified copy of any one of the following Proof of Address [PoA] needs to be submitted)

**Proof of Address\***

Passport Number  Passport Expiry Date  DD -  MM -  YYYY

Voter ID Card

Driving Licence  Driving Licence Expiry Date  DD -  MM -  YYYY

Aadhaar Card

NREGA Job Card

Others (any document notified by the central government)  Identification Number

3.2 Correspondence / Local Address Details\* (Please see instruction E at the end)

Same as Current / Permanent / Overseas Address details (In case of multiple correspondence / local addresses, please fill 'Annexure A1', Submit relevant documentary proof)

Line 1\*

Line 2

Line 3  City / Town / Village\*

District\*  Zip / Post Code\*  State/UT Code  as per Indian Motor Vehicle Act, 1988

State/UT\*  Country\*  Country Code  as per ISO 3166

**4. Contact Details** (All communications will be sent on provided Mobile no. / Email-ID) (Please refer instruction F at the end)

Email ID

Mobile - Tel. (Off) - Tel. (Res) -

**5. FATCA/CRS Information** (Tick if Applicable)  Residence for Tax Purposes in Jurisdiction(s) Outside India (Please refer instruction B at the end)

Additional Details Required\* (Mandatory only if above option (5) is ticked)

Country of Jurisdiction of Residence\*  Country Code of Jurisdiction of Residence  as per ISO 3166

Tax Identification Number or equivalent (If issued by jurisdiction)\*

Place / City of Birth\*  Country of Birth\*  Country Code  as per ISO 3166

Address Line 1\*

Line 2

Line 3  City / Town / Village\*

District\*  Zip / Post Code\*  State/UT Code  as per Indian Motor Vehicle Act, 1988

State/UT\*  Country\*  Country Code  as per ISO 3166

**6. Details of Related Person** (Optional) (please refer instruction G at the end) (in case of additional related persons, please fill 'Annexure B1')

Related Person  Deletion of Related Person KYC Number of Related Person (if available\*)

Related Person Type\*  Guardian of Minor  Assignee  Authorized Representative

Name\* Prefix  First Name  Middle Name  Last Name

(If KYC number and name are provided, below details of section 6 are optional)

Proof of Identity [PoI] of Related Person\* (Please see instruction (H) at the end)

(Certified copy of any one of the following Proof of Identity[PoI] needs to be submitted)

A- Passport Number  Passport Expiry Date

B- Voter ID Card

C- PAN Card

D- Driving Licence  Driving Licence Expiry Date

E- Aadhaar Card

F- NREGA Job Card


Z- Others (any document notified by the central government)  Identification Number

**7. Remarks (If any)**

**8. Applicant Declaration**

- I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am aware that I may be held liable for it. I hereby declare that I am not making this application for the purpose of contravention of any Act, Rules, Regulations or any statute of legislation or any notifications/directions issued by any governmental or statutory authority from time to time.
- I hereby consent to receiving information from Central KYC Registry through SMS/Email on the above registered number/email address.

Date: -- Place:

  
[Signature / Thumb Impression]  
Signature / Thumb Impression of Applicant

**9. Attestation / For Office Use Only**

Documents Received  Certified Copies

**KYC Verification Carried Out by (Refer Instruction I)**

Date

Emp. Name

Emp. Code

Emp. Designation

[Employee Signature]

**Institution Details**

Name

Code

Emp. Branch

[Institution Stamp]

**In-Person Verification (IPV) Carried Out by (Refer Instruction J)**

Date

Emp. Name

Emp. Code

Emp. Designation

[Employee Signature]

**Institution Details**

Name

Code

Emp. Branch

[Institution Stamp]